

ISM Audit Checklist/Auditor's Notes

Facility/Vessel: SEACOR MARINE LLC WCN/Class # 837841 Report No: 4694026

PART A

1.2 OBJECTIVES

1.2.1 The objectives of the Code are to ensure safety at sea, prevention of human injury or loss of life, and avoidance of damage to the environment, in particular, to the marine environment, and to property.

1.2.2 Safety management objectives of the Company should, inter alia:

1.2.2.1 provide for safe practices in ship operation and a safe working environment;

1.2.2.2 assess all identified risks to its ships, personnel and the environment and establish appropriate safeguards; and

1.2.2.3 continuously improve safety management skills of personnel ashore and aboard ships, including preparing for emergencies related both to safety and environmental protection.

1.2.3 The safety management system should ensure:

1.2.3.1 compliance with mandatory rules and regulations; and

1.2.3.2 that applicable codes, guidelines, and standards recommended by the Organization, Administrations, classification societies and maritime industry organizations are taken into account.

1.3 APPLICATION

The requirements of this Code may be applied to all ships.

Seacor Marine 120c - Renewal MI & US Flags
19 April 2021 -> 19 April 2026

Risk Assessment activity Sampled throughout course of Audit.
Cyber Security in SMS Procedures

- 1) CLASS / stat Training
- 2) ME Safety Inspections } Jan 21 - Partham (Purser) Agpt
- 3) IRLV class boats Feb 21 - [redacted] m/c [redacted] Capt (Comp)
- Seacor Longo
- Seacor Nile
- " Demarara

From SMS 19
From [redacted] in South Arabia

- SSAS test (manual)

Netherlands
Abu Dhabi
Guyana

> Sit in by Video Conference
Both Branch officers
Auditor completed 18-20 March 2021

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1.4 FUNCTIONAL REQUIREMENTS FOR A SAFETY MANAGEMENT SYSTEM (SMS)

Every company should develop, implement and maintain a Safety Management System (SMS) which includes the following functional requirements:

1.4.1 safety and environmental protection policy;

1.4.2 instructions and procedures to ensure safe operations of ships and protection of the environment in compliance with relevant international and flag State legislation;

1.4.3 defined levels of authority and lines of communication between, and amongst, shore and shipboard personnel;

1.4.4 procedures for reporting accidents and nonconformities with the provisions of this Code;

1.4.5 procedures to prepare for and respond to emergency situations; and

1.4.6 procedures for internal audits and management reviews.

2 SAFETY AND ENVIRONMENTAL PROTECTION POLICY

2.1 The Company should establish a safety and environmental protection policy which describes how the objectives, given in paragraph 1.2, will be achieved.

2.2 The Company should ensure that the policy is implemented and maintained at all levels of the organization both ship-based as well as shore-based.

SMS (Form)



Org chart - verified

MSE Performance via mgmt Review

Verify internal audits

Policy confirmed - Reviewed throughout course of audit

1) Revision / proposal

2) mgmt Review input from Support Officer & Branches.

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3 COMPANY RESPONSIBILITIES AND AUTHORITY

3.1 If the entity responsible for the operation of the ship is other than the owner, then the owner must report the full name and details of such entity to the Administration.

C-TECH
3.1 Doctasuma & Ltr -

3.2 The Company should define and document the responsibility, authority and interrelation of all personnel who manage, perform and verify work relating to and affecting safety and pollution prevention.

Sons - Fleet Ops Manual Rev 17
Provided. Interview Staff Accordingly.

3.3 The Company is responsible for ensuring that adequate resources and shore-based support are provided to enable the designated person or persons to carry out their functions.

Fleet Support Was Satisfactory throughout
Headquarters & Branch Offices in providing
Adequate resources in Personnel, maintenance
And Support to managed Vessels.

4 DESIGNATED PERSON(S)

To ensure the safe operation of each ship and to provide a link between the company and those on board, every Company, as appropriate, should designate a person or persons ashore having direct access to the highest level of management. The responsibility and authority of the designated person or persons should include monitoring the safety and pollution prevention aspects of the operation of each ship and to ensure that adequate resources and shore based support are applied, as required.

DPA. [Redacted] - Present

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5 MASTER'S RESPONSIBILITY AND AUTHORITY

5. The Company should clearly define and document the Master's responsibility with regard to:

Sample Vessel reporting, via -

SAMM & HELM -

5.1.1 implementing the safety and environmental protection policy of the Company;

1) Safety meeting & emergency Drill Activities

5.1.2 motivating the crew in the observation of that policy;

2) HSE Performance - incidents, spills, injuries etc..

5.1.3 issuing appropriate orders and instructions in a clear and simple manner;

3) mma' & internal audits

5.1.4 verifying that specified requirements are observed; and

5.1.5 periodically reviewing the SMS and reporting its deficiencies to the shore based management.

83 mma' Submitted in 2020

5.2 The Company should ensure that the SMS operating on board the ship contains a clear statement emphasizing the Master's authority. The Company should establish in the SMS that the Master has the overriding authority and the responsibility to make decisions with respect to safety and pollution prevention and to request the Company's assistance as may be necessary.

4) Daily Reports

5) Vessel inspections & Audits

6) Crew / Customer → Suspensions Reports ^{Compare with}

7) ON/OFF Charter procedures & Reports.

8) Customer / Cargo reporting & records.

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6 RESOURCES AND PERSONNEL

6.1 The Company should ensure that the Master is:

[Redacted] HR/Personnel & Training

6.1.1 properly qualified for command;

6.1.2 fully conversant with the Company's SMS; and

Check Master / SR officer & Crew
evaluations & master retention
Crew

6.1.3 given the necessary support so that the Master's duties can be safely performed.

6.2 The Company should ensure that each ship is:

6.2.1 manned with qualified, certificated and medically fit seafarers in accordance with national and international requirements; and

1) Fleet - Sample Audit/Inspection
2) Manning Agent Agreements

6.2.2 appropriately manned in order to encompass all aspects of maintaining safe operations on board.

6.3 The Company should establish procedures to ensure that new personnel and personnel transferred to new assignments related to safety and protection of the environment are given proper familiarization with their duties. Instructions that are essential to be provided prior to sailing should be identified, documented and given.

Verify Orientation & Training Programs

6.4 The Company should ensure that all personnel involved in the Company's SMS have an adequate understanding of relevant rules, regulations, codes and guidelines.

Verify Calendar based drill & Training Program

6.5 The Company should establish and maintain procedures for identifying any training that may be required in support of the SMS and ensure that such training is provided for all personnel concerned.

6.6 The Company should establish procedures by which the ship's personnel receive relevant information on the SMS in a working language or languages understood by them.

El Dorado
Guyana
El Dorado offshore - Guyana
m/c cert. firm (RPS).

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7 SHIPBOARD OPERATIONS

The Company should establish procedures, plans and instructions, including checklists as appropriate, for key shipboard operations concerning the safety of the personnel, ship and protection of the environment. The various tasks should be defined and assigned to qualified personnel.

SHORE POINT
↓
SEACOR

SAMM

30-60-90 Days

1) ~~Crews & Dept~~

2) Contract based

3) Food Re Cons

4) Crew

1-1/2 LM



- Mgmt Meetings
- Communications With And Support office
- SIRE / Vetting / Audits (Fleet)
- Regulatory Compliance (Fleet)
- Safety Rules (Fleet)
- Training Programs (Fleet)

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8 EMERGENCY PREPAREDNESS

8.1 The Company should identify potential emergency shipboard situations, and establish procedures to respond to them.

1) CHECK Emerg Response Plans, Super
Samples for N. America Vessels.

8.2 The Company should establish programs for drills and exercises to prepare for emergency actions.

8.3 The SMS should provide for measures ensuring that the Company's organization can respond at any time to hazards, accidents and emergency situations involving its ships.

2) Check Emergency drill activity
throughout course of audit for all
N. America Vessels.

Shore side drills

25 Feb 21 Super drills in Americas
Guyana - Early 1 Day on call
Collision / has multiple drills

21 Feb 21 Covid Drill
Resumed.
Severe weather

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9 REPORTS AND ANALYSIS OF NONCONFORMITIES, ACCIDENTS AND HAZARDOUS OCCURRENCES

9.1 The SMS should include procedures ensuring that nonconformities, accidents and hazardous situations are reported to the Company, investigated and analyzed with the objective of improving safety and pollution prevention.

9.2 The Company should establish procedures for the implementation of corrective action, including measures intended to prevent recurrence.

Fleet

1) Review Company Corrective Action Log

2) " Vessel Corrective Action Log

3) " Company HSE Performance

- Incident Report

- Accidents

- 835 / 17R-17

- Vetting

- M/I Flag Detention

- SIRE

- OVID / CMEI

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10 MAINTENANCE OF THE SHIP AND EQUIPMENT

10.1 The Company should establish procedures to ensure that the ship is maintained in conformity with the provisions of the relevant rules and regulations and with any additional requirements, which may be established by the Company.

Form 10 Provided

10.2. In meeting these requirements the Company should ensure that:

(3) determine Stress Exercise 2/20 2 items completed

10.2.1 inspections are held at appropriate intervals;

Hand - /

10.2.2 any nonconformity is reported with its possible cause, if known;

10.2.3 appropriate corrective action is taken; and

1) Review SEA/LIFT boats SAMM/HELM

10.2.4 records of these activities are maintained.

Status of Pms / Open w/o's

10.3 The Company should identify equipment and technical systems the sudden operational failure of which may result in hazardous situations. The SMS should provide for specific measures aimed at promoting the reliability of such equipment or system. These measures should include the regular testing of stand-by arrangements and equipment or technical systems that are not in continuous use.

2) " " Job packages - Customer Pre Spot, Pre Load, Tacking, Stability Complete Jobs. including (DOCS & Certs)

10.4 The inspections mentioned in 10.2 as well as the measures referred to 10.3 should be integrated in the ship's operational maintenance routine.

3) OSV / Crawlboat (HELM) Status of Pms Open w/o's, (DOCS & Certs)

4) Lardup / Stairway Procedure.

5) See Suspense Report Summarizing Vessel Status (See All North America)

↓ Vessel
Everything

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11 DOCUMENTATION

11.1 The Company should establish and maintain procedures to control all documents and data, which are relevant to the SMS.

Review Company & Vessel records throughout course of audit -

11.2 The Company should ensure that:

11.2.1 valid documents are available at all relevant locations;

1) SAMM / HELM Planned maintenance

11.2.2 changes to documents are reviewed and approved by authorized personnel; and

2) Vessel Certs & Documents (Class, Statutory)

11.2.3 obsolete documents are promptly removed.

3) Vessel inspection records

4) mgmt review, master reviews

11.3. The documents used to describe and implement the SMS may be referred to as the "Safety Management Manual". Documentation should be kept in a form that the Company considers most effective. Each ship should carry on board all documentation relevant to that ship.

Sample of Safety meetings.

5) Fleet HSE Performance

6) North America, communication with Guyana & Trinidad

7) HR / Training Records & manning Agreement

8) Regulatory Compliance

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12 COMPANY VERIFICATION, REVIEW AND EVALUATION

12.1 The Company should carry out internal safety audits on board and ashore at intervals not exceeding twelve months to verify whether safety and pollution-prevention activities comply with the safety management system. In exceptional circumstances, this interval may be exceeded by not more than three months.

12 month Interval -> See Schedule.

12.2 The Company should periodically verify whether all those undertaking delegated ISM-related tasks are acting in conformity with the company's responsibility under the Code.

Current Company audit schedule Fleet wide

12.3 The Company should periodically evaluate the effectiveness of the SMS in accordance with procedures established by the Company.

Management Review (6-7 Oct 2020) (Included all offices) on Company Shore point site for all to see

12.4 The audits and possible corrective actions should be carried out in accordance with documented procedures.

Seacor inc. 26-29 Jan 2021 internal audit

12.5 Personnel carrying out audits should be independent of the areas being audited unless this is impracticable due to the size and the nature of the Company.

Sample Fleet internal audit reports

12.6 The results of the audits and reviews should be brought to the attention of all personnel having responsibility in the area involved.

12.7 The management personnel responsible for the area involved should take timely corrective action on deficiencies found.

Seacor

- 1 LTA through 2020 (all office)
- TRER 0.047 -> (0.027 year end)
no 70 314 or

[REDACTED]

From: [REDACTED]
Sent: Tuesday, March 23, 2021 1:59 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: [EXTERNAL]: DOC Audit - Morgan City

Good day [REDACTED]

Thanks for the call and please the list of projected attendance in person and those with "Teams" will be available through Microsoft Teams for interviewing or file share if needed.

Thanks
 [REDACTED]

QHSE	[REDACTED]	QHSE Manager/DPA/CSO			Global QHSE
QHSE	[REDACTED]	QHSE Superintendent			Americas
QHSE	[REDACTED]	QHSE Superintendent	Teams		Americas
QHSE	[REDACTED]	QHSE Superintendent	Teams		International BV
QHSE	[REDACTED]	QHSE Superintendent	Teams		Abu Dhabi Inc

Human Resource	[REDACTED]	HR Manager			Americas
Human Resource	[REDACTED]	Crewing Supervisor			Americas
Human Resource	[REDACTED]	Crewing Supervisor			Americas

General Manager	[REDACTED]	General Manager North America			Americas
General Manager	[REDACTED]	General Manager Latin America	Teams		Americas
Operations	[REDACTED]	Operations Manager Latin America	Teams		Americas
Operations	[REDACTED]	Operations Manager North America			Americas
Operations	[REDACTED]	Operations Manager North America			Americas
Technical	[REDACTED]	Technical Manager Latin America	Teams		Americas
Technical	[REDACTED]	Chief Engineer	Teams		Americas